

(Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified))

☒ Sheet 1 of 4

Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. P01936US5	SERIAL NO. cont. of 08/739,264
	APPLICANT William E. Marshall et al	
	FILING DATE June 18, 2001	GROUP 1643

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)
(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EE	5,413,785	05/95	NAJI	424	93.35	8/94
	4,314,995	02/82	HATA	424	93	
	4,975,467	12/90	KU ET AL			
	4,849,506	07/89	RANSOM ET AL			
	5,082,657	01/92	RANSOM			
	5,055,447	10/91	PALLADINO ET AL			
	5,041,427	08/91	TAKAYAMA ET AL			
	5,158,939	10/92	TAKAYAMA ET AL			
	5,157,039	10/92	NIELSEN ET AL			
	5,082,838	01/92	NAKA ET AL			
	5,151,498	09/92	BUESCHER ET AL			
	4,347,240	08/82	MUTAI ET AL			
EE	5,840,318	11/98	MARSHALL			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO
1,298,556	04/07/92	CANADA			
EP 0 416 893 A1	03/13/91	EUROPEAN PATENT AP			

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

	Brain, Ch. 14, Handbook of Physiology - The Respiratory System I (1985)
	Kinoshita et al., Int. J. Immunopharmac, 14(2):205-211 (1992)
	Raybourne, et al., Infect. and Immun, 62:565-572 (Feb. 1994)
	Simpson et al., Crit. Care Med., 19:1060-1066 (1991)
	Perkins et al., Am. J. Resp. Cell Biol., 4:532 (1991)
	Kato et al., Microbiol. Immunol., 27(7):611-616 (1983)
	Gold et al., Infect. & Immun, 49:761-741 (September 1985)
	Archer et al., U.K. Biochem Soc. Trans, 19:4049 (July 1991)
	Kantengwa et al., Infect. & Immun., 61:1261-1267 (April 1993)
	Agace et al., Infect. & Immun., 61:602-609 (February 1993)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered.
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(Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified))
 Sheet 2 of 4

Section 2. Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b))	ATTY. DOCKET NO.	SERIAL NO. 08/739,264
	APPLICANT William E. Marshall et al	
	FILING DATE October 29, 1996	GROUP 1804

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,953,609	04/76	FARR			
	4,314,995	02/82	HATA ET AL			
	4,579,734	04/86	HATA ET AL			
	3,984,575	10/76	FARR			
	3,320,130	05/67	HENRY			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

		Popova et al <u>Inf. & Immunopharm.</u> 15(1):25-37 (1993)
		Kineshita et al <u>Inf. & Immunopharm.</u> 14(2):205-211 (Feb. 1992)
		Perdigon et al <u>J. of Food Protection</u> , 19(12):986-989 (Dec. 1986)
		Perdigon et al <u>J. of Dairy Sci.</u> , 70(5):919-926 (1988)
		Kuwabara et al <u>... Vet. Sci.</u> , 50(3):655-672 (1988)
		Perdigon et al <u>Infect. and Immunity</u> , 53(2):404-410 (1986)
		Kato et al, <u>Microbiol. Immunol.</u> , 27:611-618 (May 1988)
		Mitsuoka, <u>J. Indus. Micro.</u> , 6:263-268 (1990)
		DeSimone et al, <u>Immunopharm. & Immunotox.</u> , 14:331-340 (1992)
22		Perdigon et al, <u>J. Food Protection</u> , 53:404-410 (May 1990)
		Perdigon et al, <u>Immunology</u> , 63:17-23 (1988)
		Perdigon et al, <u>J. Dairy Res.</u> , 57:255-264 (1990)

EXAMINER <i>Robert Lunn</i>	DATE CONSIDERED 4/11/96
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

(Information Disclosure Statement - Section 2. FORM PTO - 1449 (Modified))

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

		Gueneheva et al Inf & Immuno. 14(8):1429-1436 (1989)
		Lidbeck et al Human Health: The Contribution of Microorganisms et. Saw Gibson, Springer-Verlag, New York, Chap. 6, pp 95-110 (1994)
		Lidbeck et al Scand. J. Infect. Dis., 19:531-537 (1987)
		Lidbeck et al J. of Concv. Prev., 1:341-353 (1982)
		Leggand Can J. Anim. Sci., 67:509-516 (June 1987)
		Hack et al Infect. & Immun., 60:2835-2842 (July 1992)
		Perdigon et al., J. Dairy Res. 57:255-264 (1990)
		DeSimone et al., Dietetics in the 90's, Role of the Dietician/Nutritionist, pp. 177-180 (1988)
		Zeigler-Helthrook, et al., Int. J. Cancer, 41:456-461 (1988)
		Bergey's Manual of Systematic Bacteriology 2, 2:1208-1234, Williams & Wilkins, Baltimore, MD (1986)
		Schleifer, et al., Bacter. Rev. 36:407, Fig. 9 (1972)
EXAMINER	<i>Robert L. Linn</i>	DATE CONSIDERED 4/11/06

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	APPLICANT William E. Marshall et al	
	FILING DATE October 29, 1996	GROUP 1804

U.S. PATENT DOCUMENTS

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	DOCUMENT NUMBER	PUBLI- CATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date**, Relevant Pages, Place of Publication***)

	<u>Dibb et al, Infect. & Immun., 60:3052-3058 (August 1992)</u>
	<u>Steinshamn et al., Infect. & Immun., 60:4003-4008 (October 1992)</u>
	<u>Tuomanen et al., J. Infect. Dis., 151:859-868 (May 1985)</u>
	<u>Riesenfeld-Orn et al. Infect. & Immun., 57:1898-1898 (July 1989)</u>
	<u>Bhakdi et al., Infect. & Immun., 59:4614-4620 (December 1991)</u>
EXAMINER <i>Robert L. Larson</i>	DATE CONSIDERED 9/11/06

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